



Section 15
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Applicant : Eun Jun Kwak
Serial No. : 09/897,742
Filed : July 2, 2001
For : Method for Creating Caricature

Art Unit 2621
Docket No. 3598-15

Assistant Commissioner for Patents
Washington, D.C. 20231

COMMUNICATION

Sir:

Enclosed are the signed declaration for inventor,
declaration claiming small entity status, Form PTO SB/17, Form
PTO 1449 and references, priority document and copy of
Confirmation No. 8177 - Notice to File Missing Parts of
Application.

The fee should be taken from applicants' attorney's deposit
account No. 01-2940.

Dated: New York, NY
October 2, 2001

Respectfully Submitted,

AUSLANDER & THOMAS

M. Arthur Auslander, 18,376
Attorneys for Applicant
505 Eighth Avenue
New York, NY 10018
212-594-6900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Eun Jun Kwak
Serial No. : 09/897,742
Filed : July 2, 2001
For : Method for Creating Caricature



CERTIFICATE OF MAILING

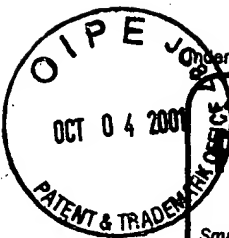
I hereby certify that the missing parts are being deposited with the United States Patent and Trademark Office, deposited as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on the 2nd day of October, 2001.

AUSLANDER & THOMAS

By


Raysa Oker

Dated: October 2, 2001



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FREE TRANSMITTAL Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.		Complete If Known	
TOTAL AMOUNT OF PAYMENT (\$) 435.00		Application Number	09/897,742
		Filing Date	July 2, 2001
		First Named Inventor	Eun-Jun Kwak
		Examiner Name	
		Group / Art Unit	2621
		Attorney Docket No.	3598-15

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 01-2940 Deposit Account Name: Auslander & Thomas <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set In 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance		3. ADDITIONAL FEES Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		105 130 205 65 Surcharge - late filing fee or oath 65	
FEE CALCULATION		127 50 227 25 Surcharge - late provisional filing fee or cover sheet	
1. BASIC FILING FEE		139 130 139 130 Non-English specification	
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		147 2,520 147 2,520 For filing a request for reexamination	
101 790 201 395 Utility filing fee 370		112 920* 112 920* Requesting publication of SIR prior to Examiner action	
106 330 206 165 Design filing fee		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	
107 540 207 270 Plant filing fee		115 110 215 55 Extension for reply within first month	
108 790 208 395 Reissue filing fee		116 400 216 200 Extension for reply within second month	
114 150 214 75 Provisional filing fee		117 950 217 475 Extension for reply within third month	
SUBTOTAL (1) (\$) 370		118 1,510 218 755 Extension for reply within fourth month	
2. EXTRA CLAIM FEES		128 2,080 228 1,030 Extension for reply within fifth month	
Total Claims: 20** = 20** X Fee from below = Fee Paid		119 310 219 155 Notice of Appeal	
Independent Claims: 3** = 3** X Fee from below = Fee Paid		120 310 220 155 Filing a brief in support of an appeal	
Multiple Dependent: = Fee Paid		121 270 221 135 Request for oral hearing	
**or number previously paid, if greater; For Reissues, see below		138 1,510 138 1,510 Petition to institute a public use proceeding	
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		140 110 240 55 Petition to revive - unavoidable	
103 22 203 11 Claims in excess of 20		141 1,320 241 660 Petition to revive - unintentional	
102 82 202 41 Independent claims in excess of 3		142 1,320 242 660 Utility issue fee (or reissue)	
104 270 204 135 Multiple dependent claim, if not paid		143 450 243 225 Design issue fee	
109 82 209 41 ** Reissue independent claims over original patent		144 670 244 335 Plant issue fee	
110 22 210 11 ** Reissue claims in excess of 20 and over original patent		122 130 122 130 Petitions to the Commissioner	
SUBTOTAL (2) (\$) 370		123 50 123 50 Petitions related to provisional applications	
		128 240 128 240 Submission of Information Disclosure Stmt	
		581 40 581 40 Recording each patent assignment per property (times number of properties)	
		146 790 246 395 Filing a submission after final rejection (37 CFR 1.129(e))	
		149 790 249 395 For each additional invention to be examined (37 CFR 1.129(b))	
		Other fee (specify) _____	
		Other fee (specify) _____	
		* Reduced by Basic Filing Fee Paid	
		SUBTOTAL (3) (\$) 435.00	

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	M. Arthur Auslander	Reg. Number	18,376
Signature		Date	10/2/01
		Deposit Account User ID	CLUB28

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/897,742	07/02/2001	Eun-Jun Kwak	3598-15

M. Arthur Auslander
Auslander & Thomas
505 Eighth Avenue
New York, NY 10018-6505



CONFIRMATION NO. 8177
FORMALITIES LETTER



OC00000006453184

Date Mailed: 08/21/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 420.**

*A copy of this notice **MUST** be returned with the reply.*

10/05/2001 SZEWDIE1 00000003 012940 09897742

01 FC:201 370.00 CH
02 FC:205 65.00 CH

mt
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY